



Please complete by following the instructions in section M on page 12.

A. EN	ITITY I	NAME										
Name	e or com	pany name							Trading name			
B. ID	ENTIF	ICATION										
Is the e	entity res	sident in Spai	n?	Yes	N	lo						
	the answ Type of onal ID:	ver is NO:								National ID:		
Incorpo	orm of oration:											
Short Fo												
If the CIF		er is YES:		Form c	of Incorpora (Spa	ation ain):						
		elow any co the laws of t					which the entity	has been ind	corporated and/or	organised and	has tax liabilit	ies in
Coun	ntry							Tax id	dentification code			
Coun	ntry							Tax id	dentification code			
Coun	ntry							Tax io	dentification code			
	If any of the countries indicated is the USA, you will have to complete section E but not fill in section F or section G. B.3. Other information (if applicable), LEI (Legal Entity Identifier)											
	Is the	e entity regist	ered at	the Ba	nk of Spain	1?	Yes (complete	e NRBE) No	NF	BE		
					SWIFT Cod	le						
B.4. Is from the	the enti ne paren	ty a branch o it company?			r is yes, sta	ate t	he tax ID number	Yes	No			
R 5 A	dditiona	l information:			or code of	the	parent company:					
		corporation					YEAR-MONTH-DAY	Da liquidatior	te of entry into (if applicable)		YEAR-MO	ONTH-DA
		OF CONT	ACT									
		x address:										
Coun	itry				Municipal a	area			Town			
					Provi	nce			Postcode			
Addr								Number	Entrance hall	Flat	Door	
		the parent c	ompan	<u>/</u> : (if ap	pplicable)							
Coun	itry	Municipal area Town										
					Provi	nce			Postcode			
Addr	ess							Number	Entrance hall	Flat	Door	
		•	in: (do		•		me as any of the a	bove address	ŕ			
Coun	itry ES	PAÑA			Municipal a	area			Town			
					Provi	nce			Postcode			
Addr	ess							Number	Entrance hall	Flat	Door	

Pág. 1 KYC (june-2020)



LEGAL ENTITY

C.4. Other contact	ct details			1		
Telephone no.			Email address			
Fax			Company website address			
D. ACTIVITY	INFOR	MATION				
		Sector (1)				
Economic /	Activity Cod	le (<i>CNAE</i>) (2)				
Connection with S	panish pub	lic service (3)				
	Size of the	company (4)				
С	Date size of	the company	YEAR-MONTH-DAY			
Tota	al assets of	the company				
Number	r of individu	ial employees				
Conne	ection with	Cecabank (5)				
	Specia	I situation (6)				
	Date of spe	ecial situation	YEAR-MONTH-DAY			
D.1. Optional info	ormation:					
	of financial oformation		YEAR-MONTH-DAY Date of indiv	YEAR-MONTH-DAY		
	idated net er (euros)		Net turnover fro financial statem (mandatory for non-f	ents (euros)		
a majority s	stake?		ated markets or in which a listed company has ne name of the recognized securities market on	Yes	No	
	2 4137761 13		th the company or its parent company is listed:			
D.3. Does the co	ompany car	ry out any of t	ne following activities as part of its business? (Mark <u>one optior</u>	n only)	
			nks, savings banks, credit cooperatives, etc. (go			
c	:) Issuing		on behalf of third parties: brokers, private equirance contracts: endowment insurance or ani	, ,	,	
d	l) Business	related to inve	estment instruments: management companies nent vehicles (go to section F)	of investment	vehicles, investment funds, hedge funds	
			straight to section G)			
D.4. Category a	ccording th		gulations:			
D.5. Purpose of	your busin	ess relationshir	with Cecabank (11)			
·	•	•	, ,	No		
D.6. Products:						
	e type of pr	oducts the insti	tution already maintains (or the institution is go	oing to subscrib	e) with Cecabank:	
Current / savi	ing or depo	sit accounts	Securities account	Correspondent	: banking account	
	Loa	ns or credits	Credit card	Foreign co	urrency exchange	
		Guarantees	Others	Operating in s	ecurities markets securities lending	





E. US ENTITIES

These sections must only be completed if the entity has been incorporated in the United States or has tax liabilities there in accordance with the laws of the United States.

If it is resident in the United States or the information provided in this declaration is incorrect or incomplete, its information could be reported to the local tax authorities in compliance with the tax reporting regulations.

- **E.1.** Please select the type of entity that the company is in accordance with the laws of the United States:
 - a) Listed US entity or affiliate of a listed group (check that you have completed point D.2 and go straight to section H)
 - **b)** US government entity or any of its agencies (go straight to section H)
 - c) US exempt entity under section 501(a) (go straight to section H)
 - d) US financial institution according to tax identification regulations (go straight to section E.3)
 - e) None of the above (go to section E.2)

E.2.	Is it an investment entity managed by a financial institution?	Yes
		No
E.3.	Is at least 50% of the company's income related to passive income	Yes (go straight to section E.5)
	(financial income, royalties, etc.)?	No (go straight to section E.4)
E.4.	Are at least 50% of the assets held by the company aimed at	Yes (go straight to section E.5)
	generating passive income (financial income, rents, royalties, etc.)?	No (go straight to section H)

- **E.5.** Does the company fall into any of the following categories? (Mark one option only)
 - **a)** Holding company of a non-financial group (go straight to section H)
 - **b)** Newly-incorporated company (less than 24 months since incorporation) (check that you have completed point B.5 and go straight to section H)
 - c) Company in liquidation (check that you have indicated the liquidation date in point B.5)
 - d) Treasury centre within a non-financial group (go straight to section H)
 - e) Non-profit organisation (go straight to section H)
 - **f)** International organisation (go straight to section H)
 - g) Exempt beneficial owner: an entity belonging to an exempt beneficial owner (go straight to section H)
 - h) Government or a subdivision of such a government (go straight to section H)
 - i) Company listed on a recognized market or a subsidiary of such a company (check that you have completed point D.2 and go straight to section H)
 - j) None of the above (go straight to section H)

Pág. 3 KYC (june-2020)





F. NON-US FINANCIAL ENTITIES

These sections must only be completed in the case of a <u>financial entity</u> which has <u>not</u> been incorporated in the United States and does not have any tax liabilities there in accordance with the laws of the United States.

F.1. Is it an investment entity managed by a financial institution?

Yes

No

- **F.2.** Classification of the entity in accordance with the definitions of a financial institution contained in tax reporting regulations: (Mark one option only)
 - a) "Reporting" or "registered deemed-compliant" entity

In this case, you must indicate the current **GIIN** of your entity: (Go straight to section H)

- **b)** Non-reporting entity (go straight to section H)
- c) "Deemed-compliant" entity: Non-registered local bank (go straight to section H)
- d) "Deemed-compliant" entity: With only low-value accounts (go straight to section H)
- **e)** "Deemed-compliant" entity: Sponsored investment vehicle with complete delegation to management company (go to section F.3 and then to section H)
- f) "Deemed-compliant" entity: Entity investing in limited maturity debt (go straight to section H)
- g) "Deemed-compliant" entity: Investment managers and advisers (go straight to section H)
- **h)** Owner-documented entity: (go straight to section H)
- i) "Restricted securities dealers" (go straight to section H)
- j) Entity resident in any of the US territories (Puerto Rico, Samoa, Guam, etc.) (go straight to section H)
- k) Exempt beneficial owner: Non-US central bank (go straight to section H)
- I) Exempt beneficial owner: Government entity (go straight to section H)
- m) Exempt beneficial owner: International organisations (go straight to section H)
- n) Exempt beneficial owner: Exempt pension fund (go straight to section H)
- o) Exempt beneficial owner: Entity belonging to an exempt beneficial owner (go straight to section H)
- **p)** Exempt intra-group entity of a financial group (go straight to section H)
- **q)** Non-participating non-US financial institution (go straight to section H)
- r) Sponsored entity which has not obtained a GIIN (go to section F.3 and then to section H)
- **F.3.** If you have marked option **e**) or option **r**) you must indicate:
 - the current **GIIN** of your sponsoring entity:
 - the trading name of your sponsoring entity:

Pág. 4 KYC (june-2020)





G. NON -FINANCIAL ENTITIES

These sections must only be completed in the case of a <u>non-financial entity</u> which has <u>not</u> been incorporated in the United States and does not have any tax liabilities there in accordance with the laws of the United States.

G.1. Is at least 50% of the company's income related to passive income (financial income, rents, royalties, etc.)? (Mark one option only)	Yes (go to section G.3) No (go to section G.3)
G.2. Are at least 50% of the assets held aimed at generating passive income	Yes (go to section G.3.)
(financial income, rents, royalties, etc.)? (Mark one option only)	No (go straight to section H)

- **G.3.** Does the company fall into any of the following categories? (Mark one option only)
 - a) Holding company of a non-financial group (go straight to section H)
 - b) Newly-incorporated company (less than 24 months since incorporation) (check that you have completed point B.5)
 - c) Company in liquidation (check that you have indicated the liquidation date in point B.5)
 - **d)** Treasury centre within a non-financial group (go straight to section H)
 - e) Non-profit organisation (go straight to section H)
 - f) Government or subdivision of such a government (go straight to section H)
 - g) International organisation (go straight to section H)
 - h) Entity in any of the US territories (Puerto Rico, Samoa, Guam, etc.) (go straight to section H)
 - i) Exempt beneficial owner: Entity belonging to an exempt beneficial owner (go straight to section H)
 - j) Exempt passive entity with GIIN

In this case, you must indicate the current ${\bf GIIN}$ of your company: (Go straight to section H)

k) Exempt sponsored passive entity with GIIN

In this case, you must indicate:

- the current **GIIN** of your sponsoring entity: (Go straight to section H)
- the trading name of your sponsoring entity: (Go straight to section H)
- I) Entity listed on a recognised market or a subsidiary of such an entity (check that you have completed point D.2)
- m) None of the above (go straight to section H)

Pág. 5 KYC (june-2020)



LEGAL ENTITY

H. DETAILS OF THE INDIVIDUAL WHO EXERCISES CONTROL OVER THE ENTITY

H.1. Individual who exercises control

Are there any beneficial owners (individual/s who ultimately hold or control, directly or indirectly, a percentage of more than 25% of the share capital or voting rights, or exercise direct or indirect control over the company by virtue of company resolutions or its articles of association, or by any other means)? (8)

Yes	(identify them in section H.2)
No	(identify the board members or directors in section H.2)

H.2. Identification of the beneficial owners (if you answered yes in section H.1) or the directors or board members of the company (if you answered no in section H.1). If the company is a **management company** of collective investment vehicles or pension funds, you must identify both the beneficial owners and the directors or board members of such a company.

Please complete the details of the individuals below. You may indicate up to 5 individuals. If you need to indicate more, you may print or photocopy page 7 and complete it as many times as you need to.

	Name 1st surname			2nd surname					
Doc	type	Doc	ument no.		Do	Document country of issue			
Document expiry date Date of birth			Country of birth						
F	Place of birth								
% Votin	g rights	% Capital	Control		Direct Indirect	I	10 0110 0111101 00111100000 111011		Yes No
Address of	tax res	idence (do not use a pos	t office bo	x)					
Is the individ	dual tax	resident in Spain?	Yes	No					
Address					Number	Entrand ha	- Hat	Door	r
Country or P	Province				Postcode				
Indicate be question:	low any	countries other than Spain	in which t	the individual ha	s <u>tax liabilities</u> * i	n accordance wi	th the laws of t	he jurisdiction	ons in
Country	Intry Tax identification code								
Country	У				Tax identif	ication code			
Country	1				Tax identif	ication code			

Pág. 6 KYC (june-2020)

^{*} A country in which the customer has tax liabilities is one in which the individual is tax resident (according to the provisions of the laws of the country in question) and, in the case of the USA, when the customer is also a US citizen. The tax ID number is the code provided by the tax authorities of each country in which the customer has tax liabilities (e.g. TIN, VAT number, etc.).



LEGAL ENTITY

Name		1st surname		2nd surname					
Do	Document type Document no.		Do	ocument country of issue					
Document expiry date		Da	te of birth		Country of bi				
	Place of birth								
% Votir	ng rights	% Capital		Control	Direct Indirect	Is t	Is the owner connected with a public person? (8) No		
Address of	f tax res	idence (do not use a post	office bo	x)					
Is the indivi	idual tax	resident in Spain?	Yes	No					
Address					Number	Entranc ha	FIAI	Do	oor
Country or	Province				Postcode				
Indicate be question:	elow any	countries other than Spain	in which t	the individual has	tax liabilities* i	n accordance wit	th the laws of t	he jurisdict	ions in
Country					Tax identifi	ication code			
Country					Tax identifi	ication code			
Country	Country Tax is		Tax identifi	ication code					
	Name	1st surname				2nd surname			
Do	cument type	Document no.			Do	ocument country of issue			
	ocument oiry date	Date of birth			Country of bir				
	Place of birth		ı						
% Votir	ng rights	% Capital		Control	Direct Indirect	Is t	he owner conne a public pe		Yes No
Address of	f tax res	idence (do not use a post	office bo	x)			а разле р	(0)	
Is the indivi	idual tax	resident in Spain?	Yes	No					
Address					Number	Entranc ha		Do	oor
Country or Province				Postcode					
Indicate be question:	elow any	countries other than Spain	in which t	he individual has	tax liabilities* i	n accordance wit	h the laws of t	he jurisdict	ions in
Country					Tax identifi	ication code			
Country					Tax identifi	ication code			
Country					Tax identification code				

Pág. 7 KYC (june-2020)

^{*} A country in which the customer has tax liabilities is one in which the individual is tax resident (according to the provisions of the laws of the country in question) and, in the case of the USA, when the customer is also a US citizen. The tax ID number is the code provided by the tax authorities of each country in which the customer has tax liabilities (e.g. TIN, VAT number, etc.).



LEGAL ENTITY

Name		1st surname		2nd surname					
Document type		Doc	Document no.		Document country of issue				
Document expiry date		Da	te of birth		(Country of birth			
	Place of birth								
% Votir	ng rights	% Capital	% Capital		Direct Indirect	Is	Is the owner connected with a public person? (8)		
Address of	f tax res	sidence (do not use a pos	t office bo	x)					
Is the indivi	idual tax	resident in Spain?	Yes	No					
Address					Number	Entrance hall	l Flat	Do	oor
Country or	Province				Postcode				
Indicate be question:	elow any	countries other than Spain	in which t	the individual has	tax liabilities* i	n accordance with	the laws of t	he jurisdiction	ons in
Country					Tax identifi	ication code			
Country					Tax identifi	ication code			
Country	Country Tax identification code								
	Name	1st surname			2nd surname				
Do	cument type	Doc	Document no.		Do	ocument country of issue			
	ocument piry date	Date of birth			Country of birth				
	Place of birth								
% Votir	ng rights	% Capital		Control	Direct Indirect	Is the owner connected with a public person? (8)		Yes No	
Address of	f tax res	idence (do not use a pos	t office bo	x)			a pasiic j	30.00 (0)	-
Is the indivi	idual tax	resident in Spain?	Yes	No					
Address					Number	Entrance hall	- Flat	D	oor
Country or Province				Postcode					
Indicate below any countries other than Spain in which the individual haquestion:			the individual has	tax liabilities* i	n accordance with	the laws of t	he jurisdiction	ons in	
Country					Tax identifi	ication code			
Country					Tax identifi	Tax identification code			
Country					Tax identifi	ication code			

Pág. 8 KYC (june-2020)

^{*} A country in which the customer has tax liabilities is one in which the individual is tax resident (according to the provisions of the laws of the country in question) and, in the case of the USA, when the customer is also a US citizen. The tax ID number is the code provided by the tax authorities of each country in which the customer has tax liabilities (e.g. TIN, VAT number, etc.).





I. BUSINESS GROUP

This section must be completed only if the company belongs to an economic group and is a sub-company or subsidiary of another company or other group companies. In such case, it must indicate the data of the immediate parent company y and, in the case in which it is different, of the ultimate parent company or main company of the group.

I.1. Immediate Parent Company:

Name:		Tax Residence Country:			
Type of National ID:			National	ID:	
Form of Incorporation:					
Short Form of Incorporation:		If the Form of Inc please indicate the	orporation is not indic e Short Form of Incor	cated in the pre poration.	evious field,
LEI:		Risk Coun	try:		
Immediate Parent Company Address:					
Country	Municipal area		Town		
	Province		Postal Code		
Address		Number	Entrance hall	Flat	Door
Sector:					
Economic Activity Code (<i>CNAE</i>):					
Connection with Spanish public service:					
Size of the company:					
Date size of the company:					
Total assets of the company:					
Number of individual employees:					
Connection with Cecabank:					
Special situation:					
Date of special situation:					

Pág. 9 KYC (june-2020)





I.2. Ultimate Parent Company:

Name:		Tax Residence Country:			
Type of National ID:			National	ID:	
Form of Incorporation:					
Short Form of Incorporation:		If the Form of Incorplease indicate the	rporation is not indic Short Form of Incorp	ated in the prevooration.	vious field,
LEI:		Risk Count	ry:		
Ultimate Parent Company Address:					
Country	Municipal area		Town		
Province			Postal Code		
Address		Number	Entrance hall	Flat	Door
Sector:					
Economic Activity Code (CNAE):					
Connection with Spanish public service:					
Size of the company:					
Date size of the company:					
Total assets of the company:					
Number of individual employees:					
Connection with Cecabank:					
Special situation:					
Date of special situation:					

Pág. 10 KYC (june-2020)





Please, provide the control or property structure, stating the percentage of ownership:					

K. DOCUMENTATION TO BE PROVITED

You must attach the following documentation to this form:

- Deed of incorporation and articles of association (updated) and/or certificate issued by the provincial companies registry (the certificate must prove the existence of the entity, as well as containing its business name, legal status, address, the identity of its directors, articles of association and tax ID number).
- Power of attorney of the person signing this form.
- Copy of the ID of the representative duly empowered. Power of attorney of current accounts and securities accounts.
- Photocopy or scanned copy of the ID document of the individuals authorised to operate the accounts of the entity.

L. DISCLAIMER AND SIGNATURE

I declare that I have examined the information contained in this form and that, to the best of my knowledge, this information is true, complete and current. In particular I hereby certify that:

- 1º All the information included in this form corresponds to the person identified in paragraph A of this form.
- I have no tax obligations in other countries not listed on this form.

Finally, I undertake to inform the company as soon as possible if there is any change in the information contained in this form.

Name, surname(s)	Position	
Signature	Date	

In accordance with the provisions of the Personal Data Protection Act 3/2018, of December 5, any data which, if applicable, may be obtained during the relationship that you maintain with Cecabank, S.A. will be included in the Entity's internal records. You may exercise the rights foreseen in the Laws in force over the data in question by writing to the data controller, which is Cecabank, S.A., by sending a request for the attention of the Legal Division, at Calle Alcalá 27, 28014, Madrid, or by sending an email to arco@cecabank.es. The processing of the data, which you hereby authorise, will be carried out in accordance with the legal requirements and the appropriate security measures will be adopted in order to prevent their unauthorised alteration, loss, processing or access.

Furthermore, you are hereby informed that the data and documents referred to in this form are compiled in order that Cecabank may comply with the applicable legal obligations established by current regulations. Failure to provide the aforementioned information could disrupt the normal development of the relationship. We therefore ask that you complete this form, print it and send a signed copy to your contact person at Cecabank.

In particular, you are informed that in accordance with the provisions of the Prevention of Money Laundering and Terrorist Financing Act 10/2010, of 28 April, as well as its enabling legislation, Cecabank must monthly notify and update, in the Financial Ownership Register, the following information on the individuals and legal entities with which it maintains business relations:

- The opening or cancellation date of any current accounts, savings accounts, securities accounts or term deposits, irrespective of their commercial name.
- The identifying information of the accountholders, beneficial owners, where applicable, representatives or authorised parties, as well as any other persons with the right to dispose of the accounts and deposits indicated in the previous point.

If applicable, please inform the other interested parties of this regulatory provision.

Cecabank, S.A.

C\ Caballero de Gracia 28-30 28013 Madrid

Pág. 11 KYC (june-2020)

LEGAL ENTITY



M. INSTRUCTIONS FOR COMPLETION

Please complete the form in capital letters, save, print and sign it and then send it to the address indicated. If you have an electronic signature, you may sign it electronically and send it by email.

(1) Codes to be included in the "Sector" field:

(see table of sectors on page. 13)

(2) Codes to be included in the "CNAE" (economic activity code) field:

You must select the 4-figure numerical code applicable to your entity from those established by the National Statistics Institute (INE). These can be found at the following address: http://www.ine.es/en/daco/daco42/clasificaciones/cnae09/estructura cnae2009 en.xls

- (3) Codes to be included in the "Connection with Spanish public service" field:
 - 12 Reporting to the Spanish central government
 - 13 Reporting to a Spanish autonomous regional government
 - 14 Reporting to a Spanish local government
 - 15 Stake held by Spanish public services without the entity reporting to them
 - 16 Other
- (4) Codes to be included in the "Size of the company" field:

\sim	NI-L	appl	l:	I_ I _
00				

01	Medium
02	Large
03	Small

	EMPLOYEES	SALES	ASSETS
MICRO-ENTERPRISE	< 10	< 2 million	< 2 million
SMALL	< 50	< 10 million	< 10 million
MEDIUM	< 250	< 50 million	< 43 million
LARGE	>250	> 50 million	> 43 million

- 04 Micro-enterprise
- (5) Codes to be included in the "Connection with Cecabank" field:
 - 06 Entities related to directors/relatives of Cecabank
 - 07 Entities related to senior management/relatives of Cecabank
 - 09 Other entities connected with Cecabank
 - 41 Controlling company
 - 44 Group company which may be consolidated
 - 58 Multi-group company
 - 84 Company in which the declaring entity has a direct or indirect stake of at least ten percent of its share capital
 - 92 Entities not connected with Cecabank
- (6) Codes to be included in the "Special situation" field:

RESIDENTS:

- 04 Notification to the court of negotiations with creditors
- 05 Refinancing agreement
- 06 Court-approved refinancing agreement
- 07 Breach of approved refinancing agreement
- 08 Arrangement with creditors without petition for liquidation
- 09 Arrangement with creditors with petition for liquidation
- 10 Creditors' agreement without breach
- 11 Creditors' agreement with breach
- 12 Arrangement with creditors in liquidation phase
- 13 Winding up

14 Other situations NOT RESIDENTS:

O1 Other legal massure

- 81 Other legal measures
- 82 Under judicial administration, receivership or similar measures
- 83 Bankruptcy / insolvency
- 84 No legal actions taken
- ZZZ Not applicable

(7) State "Yes" if the person is or has been entrusted with prominent public functions in Spain or any other country or has a relative or associate who is or has been entrusted with such functions.

Prominent public functions in the Spanish state shall be understood to mean those covered by the regulations governing conflicts of interest, such as senior positions in the central state government; national and European members of parliament; High Court and Constitutional Court judges, including equivalent members of the public prosecution service; the members of the Court of Auditors and the Bank of Spain; ambassadors and chargés d'affaires; senior military personnel in the armed forces; and directors, deputy directors and members of the board of directors (or equivalent body) of international organisations, including the European Union.

Furthermore, persons with public responsibility shall be deemed to be those who are or have been entrusted with prominent public functions in the Spanish autonomous regions, such as Presidents, Ministers and other members of the Governing Councils, as well as high-ranking officials and regional members of parliament; and, on a local level in Spain, mayors, councillors and other high-ranking officials of local authorities in provincial or regional capitals with more than 50,000 inhabitants, or senior management positions in trade union or business organisations or Spanish political parties.

Prominent public functions shall be understood to mean those filled by election, appointment or investiture in the Spanish state, other European Union member states or third countries, such as heads of state, heads of government, ministers or other members of government, secretaries of state or sub-secretaries; members of parliament; high court, constitutional court and other high-ranking court judges whose decisions cannot normally be appealed, except in exceptional circumstances, including equivalent members of the public prosecution service; the members of courts of auditors or the boards of central banks; ambassadors and chargés d'affaires; senior military personnel in the armed forces; members of the board of directors or the governing or supervisory bodies of publicly-owned companies.

Pág. 12 KYC (june-2020)



LEGAL ENTITY

A relative shall be deemed to be the spouse or a person with a similar stable personal relationship, as well as parents and children, and spouses or persons with a similar stable personal relationship with the children. An associate shall be deemed to be any individual who is known to have joint ownership or control of a legal instrument or entity with a person with public responsibility, has any other type of close business relations with such a person, or has ownership or control of a legal instrument or entity known to have been set up for the benefit of the person in question.

(8) Beneficial owner shall be understood to mean the individual/s who ultimately hold or control, directly or indirectly, a percentage of more than 25% of the share capital or voting rights of a legal entity, or who otherwise have direct or indirect control over the management of the legal entity.

In the case of jointly-owned businesses or owners' associations, foundations, joint ventures, trusts and any other legal instruments which manage or distribute funds, the 25% rule shall be understood to refer to the control, ownership or possible beneficiary status in relation to 25% of the assets managed or distributed.

- (9) List of categories according to FATCA (Foreign Account Tax Compliance Act) US regulation. If your organization has a valid W-8BEN-E form issued by the US IRS (Internal Revenue Service), please, indicate the same status listed in paragraph 5 of this form. More information in https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca
 - Specified U.S. Person
 - Other U.S. Person
 - Nonparticipating FFI.
 - Participating FFI.
 - Reporting Model 1 FFI.
 - Reporting Model 2 FFI.
 - Registered deemed-compliant FFI.
 - Sponsored FFI.
 - Certified deemed-compliant nonregistering local bank.
 - Certified deemed-compliant FFI with only low-value accounts.
 - Certified deemed-compliant sponsored, closely held investment vehicle.
 - Certified deemed-compliant limited life debt investment entity
 - Certified deemed-compliant investment advisors and investment managers.
 - Owner-documented FFI.
 - Restricted distributor.
 - Nonreporting IGA FFI.
 - Foreign government, government of a U.S. possession, or foreign central bank of issue.
 - International organization.
 - Exempt retirement plans
 - Entity wholly owned by exempt beneficial owners.
 - Territory financial institution.
 - Nonfinancial group entity.
 - 501(c) organization.
 - Excepted inter-affiliate FFI.
 - Active NFFE.
 - Passive NFFE.
 - Direct reporting NFFE.
 - Sponsored direct reporting NFFE.
 - Nonprofit organization.
 - Excepted nonfinancial start-up company.
 - Excepted nonfinancial entity in liquidation or bankruptcy.
 - Publicly traded NFFE or NFFE affiliate of a publicly traded corporation.
 - Excepted territory NFFE.
- (10) Codes to be included in the "Purpose of your business relationship with Cecabank" field:
 - 1 Commercial Banking
 - 2 Investment Services
 - 3 Loans or credits
 - 4 Consulting or training
 - 6 Technological services or payment methods
 - 7 Order routing services
 - 8 Others





Sectors for residents in Spain:	(1) Codes to	be included in the "Sector" field:		
11212101 Banks	Sectors for re	esidents in Spain:		
11212101 Banks	11111101	Bank of Spain	13122307	Other specialised financial institutions
11212101 Savings banks 13122399 Asset meragement companies 12124101 Official Credit Institutions 13122390 Official Credit Institutions 13122301 Official Credit Institutions 13122310 Official Credit Institutions 13122302 Official Credit Institutions 13122303 Official Credit Institutions 13122303 Official Credit Institutions 13122303 Official Credit Institutions 13122303 Official Credit Institutions 13122304 Official Credit Institutions 13122305 Official Credit Institutions 13122304 Official Credit Institutions 13122305 Official Credi		·		
11213101 Credit corparatives 11214101 Financial proteins statutulons 1122101 Foreign credit institutions. Geposit-laking institutions 11221101 Central government: state 11221101 Central government: regional autonomous institutions 11221101 Central government: regional government: regional autonomous institutions and similar 11221102 Autonomous regional governments: regional autonomous institutions and similar 11221103 Coal povernments: general government 11221104 Coal governments: general government 11221105 Coal governments: general government 11221106 Coal governments: general government 11221107 Coal governments: general government 11221108 Coal governments: general government 11221109 Coal governments: general government 11221101 Coa				
11214101 Official Credit Institute 1122101 Foreign credit institutions 1122101 Foreign credit institutions: deposit-taking institutions 1122101 Central government: state 12111101 Central government: state 12111102 Central government: state 12111103 Autonomous regional governments: regional autonomous institutions 12111103 Autonomous regional governments: regional autonomous institutions 12111103 Autonomous regional governments: companies 12111103 Autonomous regional governments: companies 12111103 Autonomous regional governments: companies 12111101 Coal governments: companies 13122101 Coal governments: companies 13122101 Coal governments: companies 13122101 Coal governments: companies 1312210 Coal governments: coal governments 1312210 Coal governments 13				
1122101 Financial and credit institutions 13122201 Pereja credit institutions (Pepsit-Laing) institutions 13122201 Pereja credit institutions. Other credit institutions 13122201 Pereja credit institutions. Other credit institutions 13122201 Pereja credit institutions and similar 13122201 Pereja credit institutions 13122201 Pereja credit i				
1222110 Foreign credit institutions, deposit-taking institutions (transches in Spanial Careaches in Spanial Careaches in Spanial Spanial Careaches in Spania				
1222110 Foreign credit institutions, deposit-taking institutions (transches in Spanial Careaches in Spanial Careaches in Spanial Spanial Careaches in Spania	11215101	Financial and credit institutions	13123201	Deposit guarantee funds
(branches in Spain) 12211101 Central government state 12111101 Central government companies 12121102 Central government companies 12121102 Autonomous regional governments: general governments 12121102 Autonomous regional governments: regional governments 12121102 Autonomous regional governments: regional governments 12121103 Autonomous regional governments: regional governments 12121104 Autonomous regional governments: regional governments: regional governments 12121105 Autonomous regional governments: regional governments: regional governments 12121101 Coad governments: general government 12121102 Local governments: general government 12121102 Local governments: regional autonomous institutions and similar 12121103 Local governments: companies 1312101 Other monetary financial institutions 13121101 Dension funds 13121210 Pension funds 13122210 Pension funds 131	11221101	Foreign credit institutions: deposit-taking institutions	13123202	
13122201 Foreign credit institutions, Cher credit institutions (processes of the companies) 12111101 Central government: state and similar				,
(transhos in Spain) 12111101 Central government: state 12121102 Central government: regional autonomous institutions and similar 12121103 Autonomous regional governments: general government: general governments and amilar 12121103 Autonomous regional governments: central governments and amilar 12121103 Autonomous regional governments: central governments and amilar 12121103 Autonomous regional governments: companies 12121101 Cocal governments: general government 12121102 Cocal governments: regional government 12121101 Cocal governments: regional government 12121101 Cocal governments: regional government 12121102 Cocal governments: regional government 12121101 Cocal governments: regional government 121211101 Cocal governments: regional autonomous institutions 121211101 Cocal governments: regional autonomous institutions 121211101 Cocal governments: regional autonomous institution	11222101		13123203	Annraisal companies
1211101 Central government: regional autonomous institutions and similar autonomous regional governments: general such some such sections and similar autonomous regional governments: general such sections autonomous institutions and similar autonomous regional governments: companies and autonomous institutions and similar autonomous institutions and similar autonomous institutions and similar autonomous institutions and similar autonomous institutions au	11222101		10120200	Appraisar companies
12121101 Central government: companies 13122205 Currency exchange establishments and similar 12121101 Autonomous regional governments: general government 13122101 Autonomous regional governments: regional autonomous institutions and similar autonomous institutions and similar autonomous regional governments: companies 13122200 Securities firms (investment service companies) 13122200 Charlogovernments: companies 13122200 Charlogovernments: companies 13122200 Charlogovernments: regional autonomous institutions and similar 13122101 Checi governments: companies 13122210 Checi governments: companies 13122101 Cheri				
and similar 12211101 Autonomous regional governments: general government companies 12211102 Autonomous regional governments: regional autonomous regional governments: regional autonomous regional governments: companies 1221101 Autonomous regional governments: companies 1221102 Local governments: general government 1221102 Local governments: general government 1221103 Local governments: general government 1221101 Cocal governments: general government 1221101 Cocal governments: general government 1221101 Cocal governments: companies 1221101 Local governments: companies 13121101 Cocal governments: companies 13121101 Other monetary financial institutions 13121101 Insurance companies 13121101 Other monetary financial institutions 13121101 Insurance companies 13122101 Pension funds 13122101 Mutual funds, except for MMFs 13122101 Mutual fund companies (SIM and SICAV) 13122102 Mutual fund companies (SIM and SICAV) 13122103 Real estate investment funds and companies 13122200 Color ponel insulation companies and funds 13122201 Real estate investment funds and companies 13122202 Color ponel insulation companies and funds 13122203 Securities cleaning public companies connected with local observation of the fund companies of the fund collective investment schemes 13122201 Companies scalar funds 1312202 Companies scalar funds 1312203 Securities firms (investment schemes 13122201 Companies scalar funds 1312202 Companies scalar funds 1312203 Securities firms (investment schemes 1312203 Securities firms (investment schemes 1312203 Securities firms (inve				
1221102 Central government: companies 13123206 Securities firms (investment service companies) 13123207 Surannoe brokers and agents 13123207 Surannoe brokers and agents 13123207 Surannoe brokers and agents 13123208 Spanish stock exchanges and markets, market holding companies and financial systems 13123201 Coverning bodies of securities markets, the stock exchange and other official fixed-income markets 13123210 Coverning bodies of securities markets, the stock exchange and other official fixed-income markets 13123210 Coverning bodies of securities markets, the stock exchange and other official fixed-income markets 13123211 Coverning bodies of securities markets, the stock exchange and other official fixed-income markets 13123211 Coverning bodies of securities markets, the stock exchange and other official fixed-income markets 13123211 Coverning bodies of securities markets, the stock exchange and other official fixed-income markets 13123211 Coverning bodies of securities markets, the stock exchange and other official fixed-income markets 13123211 Coverning bodies of securities markets evice 13123211 Coverning bodies of securities markets evice 13123211 Coverning bodies 13123211 Coverning bodies 13123211 Coverning bodies 13123211 Coverning bodies 13123215 Coverning bodies 13123216	12121101	Central government: regional autonomous institutions	13123205	Currency exchange establishments
12211101 Autonomous regional governments: general governments un autonomous regional governments companies and financial systems and other official fixed-income markets and other official systems and situation and situation and situations and situation and situation and s		and similar		
12211101 Autonomous regional governments: general governments companies and unature and un	12121102	Central government: companies	13123206	Securities firms (investment service companies)
government 2211102 Autonomous regional governments: regional autonomous regional governments: companies 1221103 Local governments: general government 1221104 Local governments: regional autonomous institutions and similar 12221105 Local governments: regional autonomous institutions 12221106 Local governments: regional autonomous institutions 12221107 Local governments: regional autonomous institutions 12221108 Local governments: regional autonomous institutions 12221109 Local governments: regional autonomous institutions 12221101 Local governments: regional autonomous institutions 12221102 Local governments: regional autonomous institutions 12221101 Local governments: regional autonomous institutions 12221101 Local governments: regional autonomous institutions 12221101 Local governments: regional autonomous institutions 1222101 Mutual fund companies (SIM and SICAV) 1222102 Venture capital companies and funds 1222201 Venture capital companies and funds 1222202 Venture capital companies and funds 1222203 Venture capital companies and funds 1222201 Venture c	12211101			
12211102 Autonomous regional governments: regional autonomous autonomous institutions and similar autonomous regional governments: companies autonomous regional governments: companies autonomous regional governments: companies autonomous institutions and similar Local governments: regional autonomous institutions and similar Local governments: regional autonomous institutions and similar Local governments: companies and similar and similar local governments: companies and similar local governments local governments and similar local governments l				modiano pronoro ana agomo
autonomous institutions and similar 12221101 Local governments: general government 12221102 Local governments: regional autonomous institutions and similar 12221103 Local governments: regional autonomous institutions and similar 12221104 Local governments: regional autonomous institutions and similar 12221105 Local governments: regional autonomous institutions and similar 12221106 Local governments: regional autonomous institutions and similar 12221107 Local governments: regional autonomous institutions 12221108 Local governments: general government conganies and other official fixed-income markets 13122311 Cocal governments: regional autonomous institutions 13122101 Insurance companies 13122101 Vince monetary financial institutions 13122101 Insurance companies 13122201 Pension funds 13122201 Pension funds 13122201 Pension funds 13122201 Vince part of MMFs 1	40044400		40400000	Considerate at a superior and an advantage and an advantage and
12211101 Local governments: general government statutions and similar Local governments: regional autonomous institutions and similar Local governments: regional autonomous institutions and similar Local governments: companies (Local governments: companies and similar Local governments: companies (Local governments: companies) (Local governments: companies) (Local governments: companies) (Local governments: companies) (Local governments) (Loc	12211102		13123208	
12221101 Local governments: general government 13123210 Code governments: regional autonomous institutions and similar 13123110 Code governments: regional autonomous institutions 13123211 13123211 13123110 Code governments: companies 1312321 1312321 13123110 Code governments: companies 1312321 1312321 Companies 131				
12221101 Local governments: regional autonomous institutions and similar coeal governments: regional autonomous institutions and similar coeal governments: regional autonomous institutions companies companies (companies) Securitisation fund management companies (companies) Securitise clearing and settlement services (companies) Securities (companies) Securities (companies) Securities (companies) Securities (companies) Securities firms (investment service companies) (companies) Securities firms (investment service companies) Securities firms (investment service) Securities firms (investment ser	12211103	Autonomous regional governments: companies	13123209	Governing bodies of securities markets, the stock exchange
12221102 Local governments: regional autonomous institutions and similar a				and other official fixed-income markets
12221102 Local governments: regional autonomous institutions and similar a	12221101	Local governments: general government	13123210	Governing bodies of futures and options markets
and similar 12211101 Local governments: companies 12311101 Social Security institutions 131310101 Electronic money institutions 131110101 Money financial institutions 13112101 Other monetary financial institutions 13122121 Securities clearing and settlement services 13122101 Insurance companies 13122101 Pension fund management companies 13122101 Pension fund management companies 13122101 Other monetary financial institutions 13122101 Hedge funds 13122101 Hedge funds 13122101 Witual funds, except for MMFs 13122101 Mutual funds, except for MMFs 13122101 Mutual fund companies (SIM and SICAV) 13122101 Mutual fund companies (SIM and SICAV) 13122102 Mutual fund companies 13122201 Real estate investment funds and companies 13122201 Real estate investment funds and companies 13122201 Other non-financial collective investment schemes 13122201 Venture capital companies and funds 13122301 Venture capital companies and funds 13122302 Companies issuing preferred shares 13122303 Horizon for residents in the European Union (apart from Spain): 221111101 European Central Bank 22121101 Foreign credit institutions 23122301 Foreign credit institutions 23122301 Foreign credit institutions 23122301 Foreign credit institutions (overseas branches) 23122101 Other specialised financial institutions 23122301 Central governments: regional autonomous institutions 23122301 Central governments: companies 23122301 Central governments: states 23122301 Central governments: companies 23122301 Central governments: companies 23122301 Central governments: regional autonomous institutions 23122301 Central governments: general government 231221101 Central governments: general government 23122101 Central governments: companies 23122301 Collapsies financial rockers 23122301 Collapsies financial rockers 23122301 Central governments: companies 23122301 Collapsies financial rockers 23122301 Collapsies financial rockers 23122301 Collapsies financial rockers 23122301 Central governments: companies 23122301 Central governments: companies 23122301 Collapsie				
12221103	12221102		10.20211	
12311101 Social Security institutions 13123213 Venture capital fund management companies	10001100		10100010	
31111010 Electronic money institutions 13123214 Pension fund management companies 13111101 Money market funds 1312315 Securities clearing and settlement services 13122101 Insurance companies 13122101 Mutual funds, except for MMFs 13211101 Mutual funds, except for MMFs 13212101 Mutual funds, except for MMFs 1322101 Mutual funds companies (SIM and SICAV) 13122101 Mutual fund companies and funds 13122101 Mutual fund companies and funds 13122101 Mutual funds companies and funds 13122101 Mutual funds companies and funds 13122305 Mutual funds companies and similar 13122301 Mutual funds companies 13122300 Mutual funds companies 13122301 Mutua				
3111101				
131212101 Other monetary financial institutions 13122316 Payment institutions 13122101 Insurance companies 13122101 Pension funds 13211101 Non-financial public companies connected with local governments 13122111 Non-financial public companies connected with local governments 1312211 Non-financial public companies 1312211 Non-financial public companies connected with local governments 1312211 Non-financial public companies 13122111 Non-financial public companies 13122111 Non-financial	13110101	Electronic money institutions	13123214	Pension fund management companies
13121101 Insurance companies 13122317 Other financial auxiliaries 13121101 Non-financial public companies connected with local governments 13122101 Mutual funds, except for MMFs 13221101 Non-financial public companies Non-financial private companies Non-financial public more traders Non-financial private companies Non-financial public private Non-financial private companies Non-financial private companies Non-financial public private Companies Non-financial public private Companies Non-financial private companies Non-financial public Non-financial private companies Non-financial public Non-financial public Non-financial public Non-financial public Non-financial private companies Non-financial public Non-financial Non-f	13111101	Money market funds	13123215	Securities clearing and settlement services
13121101 Insurance companies 13122317 Other financial auxiliaries 13121101 Non-financial public companies connected with local governments 13122101 Mutual funds, except for MMFs 13221101 Non-financial public companies Non-financial private companies Non-financial public more traders Non-financial private companies Non-financial public private Non-financial private companies Non-financial private companies Non-financial public private Companies Non-financial public private Companies Non-financial private companies Non-financial public Non-financial private companies Non-financial public Non-financial public Non-financial public Non-financial public Non-financial private companies Non-financial public Non-financial Non-f				
13121201 Pension funds 13211101 Non-financial public companies connected with local governments 13122101 Hedge funds 13122101 Mutual funds, except for MMFs 13122101 Other non-financial public companies Other non-financial private companies 13122101 Other non-financial private companies 1311101 Non-profit institutions serving households 13411101 Non-financial private companies 13411101 Other non-financial private companies 13411101 Other serving funds 13411101 Non-profit institutions 13411101 Non-profit institutions 13411101 Non-profit institutions 13411101 Non-profit institutions 13411101 Non-financial private companies 13411101 Non-financial private companies connected with local governments 13411101 Non-financial public companies connected with local governments 13411101 Non-financial private companies companies 13411101 Non-financial private companies connected with local governments 13411101 Non-financial private companies companies 13411101 Non-financial private companies 134				•
3122101 Hedge funds 13212101 Mutual funds, except for MMFs 13212101 Mutual funds, except for MMFs 13212101 Mutual fund companies (SIM and SICAV) 1322103 Hedge fund companies (SIM and SICAV) 1322103 Hedge fund companies (SIM and SICAV) 13222101 Mutual fund companies (SIM and SICAV) 13222103 Hedge fund companies (SIM and SICAV) 13222101 Mutual fund companies (SIM and SICAV) 1322101 Mutual fund companies (SIM and SICAV) 1322101 Mutual fund companies (SIM and SICAV) 1322101 Mutual fund companies (SIM and SIM and SICAV) 1322101 Mutual fund companies (SIM and SICAV) 1322201				
13122101 Hedge funds 13212101 Other non-financial public companies 13212101 Other non-financial public companies 13221101 Other non-financial public companies 13221101 Other non-financial private companies 1322101 Other non-financial private companies 13311101 Other private companies and funds 13401101 Other special companies 13401101 Other special sed financial institutions 13401101 Other special sed financial institutions 13401101 Other special sed financial institu	13121201	Pension runus	13211101	
13122101 Mutual funds, except for MMFs 13122102 Mutual fund companies (SIM and SICAV) 13122103 Hedge fund companies 13122201 Real estate investment funds and companies 13122202 Other non-financial collective investment schemes 13122303 Securities firms (investment service companies) 13122304 Venture capital companies and funds 13122305 Securities firms (investment service companies) 13122306 Use ture capital companies and funds 13122306 Use ture capital companies and funds 13122306 Islate public limited real estate investment companies 13122306 Use ture capital companies and funds 13122306 Use ture capital companies managing subsidiaries which are primarily financial 13122306 Use ture capital companies and funds 13122307 Use ture capital companies and funds 13122308 Use ture capital companies and funds 13122309 Use ture capital companies and funds 13122300 Use ture capital companies				
Securities firms Securities firms (investment service companies Securities firms Securities firms (investment service companies Securities firms (investment companies Securities firms Securi				
13122102	13122101	Mutual funds, except for MMFs	13221101	Non-financial private companies connected with local
13122102				governments
13122103 Hedge fund companies 13311101 Non-profit institutions serving households 1322201 Cher non-financial collective investment schemes 13411101 Households: sole traders 13422301 Securitis firms (investment service companies) 13411101 Households: sole traders 13422302 Venture capital companies and funds Securitisation companies and funds Securitisation companies and funds Securitisation companies managing subsidiaries which are primarily financial Listed public limited real estate investment companies Listed public li	13122102	Mutual fund companies (SIM and SICAV)	13222101	
13122201 Cother non-financial collective investment schemes 13122302 Venture capital companies and funds 23122303 Securities firms (investment service companies) Venture capital companies and funds 3122304 Companies issuing preferred shares Holding companies managing subsidiaries which are primarily financial Listed public limited real estate investment companies 23122305 Local part of the first part of t				
13122202 Other non-financial collective investment schemes 13122301 Securities firms (investment service companies) 13122302 Venture capital companies and funds 13122303 Securitisation companies and funds 13122305 Companies issuing preferred shares 13122306 Holding companies managing subsidiaries which are primarily financial 13122306 Listed public limited real estate investment companies Sectors for residents in the European Union (apart from Spain): 21111101 European Central Bank 23122301 13122301 Central banks and national monetary authorities 23122302 13121101 Foreign credit institutions. 23122304 Companies issuing preferred shares 13122101 Foreign credit institutions. 23122305 Holding companies managing subsidiaries which are primarily financial 13122301 Other Spanish deposit-taking institutions (overseas branches) 13122101 Other Spanish credit institutions (overseas branches) 13122101 Central governments: states 13122301 Central governments: regional autonomous institutions and similar 13122301 Regional governments: companies 13122301 Central governments: companies 13122301 Central governments: companies 13411101 Households: other 13122301 Securities firms 13411101 Households: other 13122301 Securities firms 13411101 Households: other 13122301 Central governies and funds 1311101 Listed public limited real estate investment companies 131122301 Listed public limited real estate investment companies 131122301 Listed public limited real estate investment companies 131122301 Listed public limited real estate investment companies 13				·
13122301 Securities firms (investment service companies)				
13122302 Venture capital companies and funds 13122304 Companies issuing preferred shares 13122305 Holding companies managing subsidiaries which are primarily financial 13122306 Listed public limited real estate investment companies Sectors for residents in the European Union (apart from Spain): 21111101 European Central Bank 21211101 Central banks and national monetary authorities 213122305 Venture capital companies and funds 21312101 Foreign credit institutions. 213122101 Foreign credit institutions. 213122101 Spanish deposit-taking institutions (overseas branches) 213122101 Other Spanish credit institutions (overseas branches) 21312101 Central governments: regional autonomous institutions and similar 2131101 Central governments: companies 22111101 Central governments: companies 22111101 Regional governments: regional autonomous institutions and similar 2211101 Regional governments: regional autonomous institutions and similar 2211101 Regional governments: regional autonomous institutions and similar 2211101 Central governments: regional autonomous institutions and similar 2211101 Regional governments: regional autonomous institutions and similar 2211101 Central governments: regional autonomous institutions and similar 2211101 Regional governments: regional autonomous institutions and similar 2211101 Central governments: regional autonomous institutions and similar 2211101 Regional governments: regional autonomous institutions and similar 2211101 Central governments: companies 2312300 Currency exchange establishments 2312300 Foreign and similar 2211101 Local governments: companies 2312300 Foreign and similar 2211101 Local governments: companies 2312300 Foreign and similar Security institutions 2312300 Foreign and similar Security institutions 2312300 Foreign and service of the foreign and service			13411101	Households: other
13122304 Companies and funds 13122305 Holding companies anal subsidiaries which are primarily financial 13122306 Listed public limited real estate investment companies Sectors for residents in the European Union (apart from Spain): 21111101 European Central Bank 21211101 Central banks and national monetary authorities 23122305 Venture capital companies and funds 21311101 Foreign credit institutions. 23122305 Yenture capital companies and funds 21312101 Foreign credit institutions. 23122305 Holding companies and funds 23122305 Venture capital companies and funds 23122306 Venture capital companies and funds 23122307 Venture capital companies and funds 23122308 Holding companies managing subsidiaries which are primarily financial 23122101 Spanish deposit-taking institutions (overseas branches) 231222101 Other Spanish credit institutions (overseas branches) 231222101 Central governments: states 23122308 Holding companies which do not manage subsidiaries 23122309 Holding companies which do not manage subsidiaries 23122310 International and supranational bodies (except for multilateral development banks) which could be classified as other financial brokers 23122310 Regional governments: companies 231223101 Regional governments: regional autonomous 23122301 Regional governments: companies 231223102 Regional governments: companies 231223103 Regional governments: companies 231223104 Mutual guarantee companies 23122306 Currency exchange establishments 23123101 Local governments: companies 23123201 Insurance brokers and agents 23123201 Insurance brokers and agents 2312321 Portfolio management companies	13122301			
13122305 Companies issuing preferred shares Holding companies managing subsidiaries which are primarily financial 13122306 Listed public limited real estate investment companies Sectors for residents in the European Union (apart from Spain): 21111101 European Central Bank 21211101 Contral banks and national monetary authorities 21312101 Foreign credit institutions. 23122302 Venture capital companies and funds 21312101 Foreign credit institutions. 23122304 Companies issuing preferred shares Holding companies issuing preferred shares Holding companies managing subsidiaries which are primarily financial Listed public limited real estate investment companies 23122101 Spanish deposit-taking institutions (overseas branches) 21322101 Central governments: regional autonomous institutions 22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: companies 22111104 Regional governments: regional autonomous 23122310 Regional governments: general government 22211103 Regional governments: general government 22211104 Regional governments: general government 22211105 Regional governments: general government 22211106 Regional governments: general government 22211107 Regional governments: general government 22211108 Regional governments: general government 22211109 Regional governments: general government 22211101 Contral counterparties 2211102 Contral counterparties 2211103 Regional governments: general government 2211104 Local governments: general government 2211105 Regional governments: general government 2211106 Regional governments: general government 2211107 Regional governments: general government 2211108 Regional governments: general government 2211109 Regional governments: general government 2211109 Regional governments: general government 2211100 Regional governments: general government 2211101 Regional governments 2211101	13122302	Venture capital companies and funds		
Holding companies managing subsidiaries which are primarily financial Listed public limited real estate investment companies Sectors for residents in the European Union (apart from Spain): 21111101	13122303	Securitisation companies and funds		
Holding companies managing subsidiaries which are primarily financial Listed public limited real estate investment companies Sectors for residents in the European Union (apart from Spain): 21111101	13122304	Companies issuing preferred shares		
primarily financial Listed public limited real estate investment companies Sectors for residents in the European Union (apart from Spain): 21111101				
Listed public limited real estate investment companies Sectors for residents in the European Union (apart from Spain): 21111101	10122000			
Sectors for residents in the European Union (apart from Spain): 21111101	40400000			
21111101 European Central Bank 21211101 Central banks and national monetary authorities 213122302 Venture capital companies and funds 21312101 Foreign credit institutions. 23122305 Companies issuing preferred shares 410ding companies managing subsidiaries which are primarily financial Listed public limited real estate investment companies 21322101 Other Spanish credit institutions (overseas branches) 21322101 Central governments: states 22111102 Central governments: regional autonomous institutions 22111103 Central governments: companies 222111104 Regional governments: general government 22211105 Regional governments: regional autonomous institutions 22211106 Regional governments: companies 22211107 Regional governments: companies 22311108 Local governments: regional autonomous institutions 22311109 Local governments: companies 22311101 Local governments: companies 22311102 Local governments: companies 22311103 Local governments: companies 22311104 Local governments: companies 22311105 Local governments: companies 22311106 Local governments: companies 22311107 Local governments: companies 22311108 Local governments: companies 22311109 Local governments: companies 2312300 Mutual guarantee companies 2312300 Mutual guarantee companies 2312300 Mutual guarantee companies 2312300 Currency exchange establishments 2312300 Market governing bodies 2312300 Market governing bodies 23123210 Market governing bodies 23123210 Market governing bodies 23123211 Market governing bodies 23123210 Market governing bodies	13122300	Listed public limited real estate investment companies		
21111101 European Central Bank 21211101 Central banks and national monetary authorities 213122302 Venture capital companies and funds 21312101 Foreign credit institutions. 23122305 Companies issuing preferred shares 410ding companies managing subsidiaries which are primarily financial Listed public limited real estate investment companies 21322101 Other Spanish credit institutions (overseas branches) 21322101 Central governments: states 22111102 Central governments: regional autonomous institutions 22111103 Central governments: companies 222111104 Regional governments: general government 22211105 Regional governments: regional autonomous institutions 22211106 Regional governments: companies 22211107 Regional governments: companies 22311108 Local governments: regional autonomous institutions 22311109 Local governments: companies 22311101 Local governments: companies 22311102 Local governments: companies 22311103 Local governments: companies 22311104 Local governments: companies 22311105 Local governments: companies 22311106 Local governments: companies 22311107 Local governments: companies 22311108 Local governments: companies 22311109 Local governments: companies 2312300 Mutual guarantee companies 2312300 Mutual guarantee companies 2312300 Mutual guarantee companies 2312300 Currency exchange establishments 2312300 Market governing bodies 2312300 Market governing bodies 23123210 Market governing bodies 23123210 Market governing bodies 23123211 Market governing bodies 23123210 Market governing bodies				
21311101 Central banks and national monetary authorities 21311101 Foreign credit institutions. 21312101 Foreign credit institutions. 21312101 Spanish deposit-taking institutions (overseas branches) 21322101 Other Spanish credit institutions (overseas branches) 21322101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: general government 222111104 Regional governments: regional autonomous institutions and similar 22211105 Regional governments: companies 222111106 Regional governments: regional autonomous institutions 22111107 Regional governments: regional autonomous institutions 22111108 Regional governments: companies 22111109 Regional governments: regional autonomous institutions and similar 22211100 Regional governments: companies 22311101 Local governments: general government 22311102 Local governments: regional autonomous institutions and similar 22311103 Local governments: companies 23123207 Insurance brokers and agents 23123207 Market governing bodies 23123211 Portfolio management companies 23123211 Portfolio management companies	Sectors for re	esidents in the European Union (apart from Spain):		
21311101 Central banks and national monetary authorities 21311101 Foreign credit institutions. 21312101 Foreign credit institutions. 21312101 Spanish deposit-taking institutions (overseas branches) 21322101 Other Spanish credit institutions (overseas branches) 21322101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: general government 222111104 Regional governments: regional autonomous institutions and similar 22211105 Regional governments: companies 222111106 Regional governments: regional autonomous institutions 22111107 Regional governments: regional autonomous institutions 22111108 Regional governments: companies 22111109 Regional governments: regional autonomous institutions and similar 22211100 Regional governments: companies 22311101 Local governments: general government 22311102 Local governments: regional autonomous institutions and similar 22311103 Local governments: companies 23123207 Insurance brokers and agents 23123207 Market governing bodies 23123211 Portfolio management companies 23123211 Portfolio management companies				
21211101 Central banks and national monetary authorities 21311101 Foreign credit institutions. 21312101 Foreign credit institutions. 21312101 Spanish deposit-taking institutions (overseas branches) 21322101 Other Spanish credit institutions (overseas branches) 21322101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: general government 222111104 Regional governments: regional autonomous institutions and similar 22211105 Regional governments: companies 222111106 Regional governments: regional autonomous institutions 22111107 Regional governments: regional autonomous institutions and similar 22211108 Regional governments: companies 22211109 Regional governments: regional autonomous institutions and similar 22211100 Regional governments: companies 22311101 Local governments: regional autonomous institutions and similar 22211102 Regional governments: companies 22311103 Local governments: companies 22311104 Local governments: companies 22311105 Local governments: companies 22311106 Local governments: companies 22311107 Local governments: companies 22311108 Local governments: companies 22311109 Local governments: companies 22311100 Local governments: companies 22311101 Local governments: companies 22311102 Local governments: companies 23123207 Insurance brokers and agents 23123207 Market governing bodies 23123211 Portfolio management companies	21111101	European Central Bank	23122301	Securities firms
21311101 Foreign credit institutions. 21312101 Foreign credit institutions. 21312101 Foreign credit institutions. 21321101 Spanish deposit-taking institutions (overseas branches) 21322101 Other Spanish credit institutions (overseas branches) 22111101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Regional governments: companies 22111104 Regional governments: regional autonomous institutions 22111105 Regional governments: regional autonomous institutions 22111106 Regional governments: regional autonomous institutions 22111107 Regional governments: regional autonomous institutions 22111108 Regional governments: regional autonomous institutions 22111109 Regional governments: regional autonomous institutions 22111101 Regional governments: regional autonomous institutions and similar 22211102 Regional governments: companies 23122304 Mutual guarantee companies 23123206 Currency exchange establishments 23123207 Insurance brokers and agents 23123201 Insurance brokers and agents 23123201 Market governing bodies 23123201 Portfolio management companies				
2132101 Foreign credit institutions. 21321101 Spanish deposit-taking institutions (overseas branches) 21322101 Other Spanish credit institutions (overseas branches) 22111101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Regional governments: companies 222111104 Regional governments: regional autonomous 22211105 Regional governments: regional autonomous 22111106 Regional governments: regional autonomous 22111107 Regional governments: regional autonomous 22111108 Regional governments: regional autonomous 22111109 Regional governments: regional autonomous 22111100 Regional governments: regional autonomous 22111101 Regional governments: regional autonomous 22111102 Regional governments: companies 22111103 Regional governments: regional autonomous 22111104 Regional governments: regional autonomous 22111105 Coal governments: companies 22111107 Regional governments: companies 22111108 Regional governments: companies 22111109 Regional governments: companies 22111101 Local governments: regional autonomous institutions 22111101 Local governments: regional autonomous institutions 22111101 Local governments: companies 2211101 Local governments: companies 22111101 Local governments: regional autonomous institutions 22111101 Local governments: regional autonomous institutions 22111101 Local governments: regional autonomous institutions 2211101 Local governments: regional autonomous institutions 22111101 Local governments: regional autonomous institutions 22111101 Local governments: regional autonomous institutions 2211101 Local governments: regio		•		
21321101 Spanish deposit-taking institutions (overseas branches) 21322101 Other Spanish credit institutions (overseas branches) 22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: companies 22111104 Regional governments: regional autonomous 22111105 Regional governments: regional autonomous 22111106 Regional governments: regional autonomous 22111107 Regional governments: regional autonomous 22111108 Regional governments: regional autonomous 2211109 Regional governments: regional autonomous 2211100 Regional governments: regional autonomous 2211101 Regional governments: regional autonomous 2211101 Regional governments: regional autonomous 2211102 Regional governments: companies 2211103 Regional governments: regional autonomous 2211104 Local governments: regional autonomous institutions 2211105 Local governments: regional autonomous institutions 2211107 Regional governments: regional autonomous institutions 2211108 Regional governments: companies 2211109 Local governments: regional autonomous institutions 2211100 Local governments: regional autonomous institutions 2211101 Local				
21321101 Spanish deposit-taking institutions (overseas branches) 21322101 Other Spanish credit institutions (overseas branches) 2111101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Regional governments: companies 22111104 Regional governments: companies 22111105 Regional governments: companies 22111106 Regional governments: companies 22111107 Regional governments: companies 22111108 Regional governments: companies 22111109 Regional governments: companies 22111101 Regional governments: companies 22111102 Regional governments: companies 22111103 Regional governments: companies 22111104 Regional governments: companies 22111105 Regional governments: companies 22111106 Regional governments: companies 22111107 Regional governments: companies 22111108 Regional governments: companies 22111109 Regional governments: companies 22111100 Regional governments: companies 22111101 Local governments: companies 22111101 Local governments: companies 22111101 Local governments: companies 22111101 Electronic money institutions 23123201 Insurance brokers and agents 23123201 Portfolio management companies	21312101	roreign creat institutions.	23122305	
branches) 21322101 Other Spanish credit institutions (overseas branches) 22111101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: companies 22111104 Regional governments: general government 22211105 Regional governments: regional autonomous institutions institutions and similar 22211106 Regional governments: regional autonomous institutions 2211107 Regional governments: regional autonomous institutions and similar 22211108 Regional governments: companies 2211109 Local governments: companies 2211100 Local governments: regional autonomous institutions and similar 22211101 Local governments: regional autonomous institutions and similar 22311102 Local governments: companies 23123207 Local governments: companies 23123207 Local governments: companies 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123211 Portfolio management companies				
branches) 21322101 Other Spanish credit institutions (overseas branches) 22111101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: companies 22111104 Regional governments: general government 22211105 Regional governments: regional autonomous institutions institutions and similar 22211106 Regional governments: regional autonomous institutions 2211107 Regional governments: regional autonomous institutions and similar 22211108 Regional governments: companies 2211109 Local governments: companies 2211100 Local governments: regional autonomous institutions and similar 22211101 Local governments: regional autonomous institutions and similar 22311102 Local governments: companies 23123207 Local governments: companies 23123207 Local governments: companies 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123211 Portfolio management companies	21321101	Spanish deposit-taking institutions (overseas	23122306	Listed public limited real estate investment companies
21322101 Other Spanish credit institutions (overseas branches) 22111101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: companies 22111104 Regional governments: regional autonomous 2211105 Regional governments: regional autonomous 2211106 Regional governments: regional autonomous 2211107 Regional governments: companies 2211108 Regional governments: companies 2211109 Regional governments: companies 2211100 Regional governments: companies 2211101 Local governments: general government 2211102 Local governments: regional autonomous institutions 2211103 Local governments: companies 2312305 Central counterparties 23123204 Mutual guarantee companies 23123206 Currency exchange establishments 23123206 Securities firms 23123207 Insurance brokers and agents 23123207 Market governing bodies 23123201 Portfolio management companies				·
22111101 Central governments: states 22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: companies 22111104 Regional governments: regional autonomous 22111105 Regional governments: regional autonomous 22111106 Regional governments: regional autonomous 22111107 Regional governments: regional autonomous 22111108 Regional governments: regional autonomous 22111109 Local governments: general government 22111100 Local governments: regional autonomous institutions 22111101 Local governments: regional autonomous institutions 22111102 Local governments: regional autonomous institutions 22111103 Local governments: companies 22111104 Local governments: companies 22111105 Local governments: companies 22111106 Social Security institutions 22111107 Electronic money institutions 23123211 Portfolio management companies	21322101		23122307	Other specialised financial institutions
22111102 Central governments: regional autonomous institutions and similar 22111103 Central governments: companies 22211101 Regional governments: regional autonomous 22211102 Regional governments: regional autonomous 22211103 Regional governments: regional autonomous 22211104 Regional governments: regional autonomous 22211105 Regional governments: regional autonomous 22211106 Regional governments: companies 22211107 Regional governments: companies 2211108 Regional governments: companies 2211109 Local governments: general government 2211100 Local governments: regional autonomous institutions 2211100 Local governments: companies 2211				
and similar 22111103 Central governments: companies 22111104 Regional governments: general government 22211105 Regional governments: regional autonomous institutions and similar 22211106 Regional governments: companies 2211107 Regional governments: companies 2211108 Regional governments: companies 2211109 Regional governments: companies 2211100 Local governments: general government 2211100 Local governments: regional autonomous institutions and similar 2211100 Local governments: companies 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123211 Portfolio management companies				
other financial brokers 22111103 Central governments: companies 23122399 Other financial brokers 22211101 Regional governments: general government 23123101 Central counterparties Regional governments: regional autonomous institutions and similar 22211103 Regional governments: companies 23123204 Mutual guarantee companies 22311101 Local governments: general government 23123205 Currency exchange establishments 22311102 Local governments: regional autonomous institutions and similar 22311103 Local governments: companies 23123207 Insurance brokers and agents 22411101 Social Security institutions 23123209 Market governing bodies 23123211 Portfolio management companies	22111102		23122310	
22111103 Central governments: companies 23122399 Other financial brokers 22211101 Regional governments: general government 23123101 Central counterparties Regional governments: regional autonomous institutions and similar 22211103 Regional governments: companies 23123204 Mutual guarantee companies 22311101 Local governments: regional autonomous institutions and similar 22311102 Local governments: regional autonomous institutions and similar 22311103 Local governments: companies 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123211 Portfolio management companies		and similar		'
22211101 Regional governments: general government 22211102 Regional governments: regional autonomous institutions and similar 22211103 Regional governments: companies 22311101 Local governments: general government 23123204 Mutual guarantee companies 23123205 Currency exchange establishments 23123206 Securities firms and similar 22311103 Local governments: companies 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123201 Portfolio management companies				other financial brokers
22211101 Regional governments: general government 22211102 Regional governments: regional autonomous institutions and similar 22211103 Regional governments: companies 22311101 Local governments: general government 23123204 Mutual guarantee companies 23123205 Currency exchange establishments 23123206 Securities firms and similar 22311103 Local governments: companies 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123201 Portfolio management companies	22111103	Central governments: companies	23122399	Other financial brokers
22211102 Regional governments: regional autonomous institutions and similar 22211103 Regional governments: companies 22311101 Local governments: general government 22311102 Local governments: regional autonomous institutions and similar 22311103 Local governments: companies 22311104 Local governments: companies 23123205 Currency exchange establishments 23123206 Securities firms 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123201 Portfolio management companies				
institutions and similar 22211103 Regional governments: companies 22311101 Local governments: general government 223123205 Currency exchange establishments 23123206 Securities firms 23123206 Securities firms 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123200 Mutual guarantee companies 23123206 Securities firms 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123210 Portfolio management companies				
22211103 Regional governments: companies 23123204 Mutual guarantee companies 22311101 Local governments: general government 23123205 Currency exchange establishments 22311102 Local governments: regional autonomous institutions and similar 22311103 Local governments: companies 23123207 Insurance brokers and agents 22411101 Social Security institutions 23123209 Market governing bodies 23110101 Electronic money institutions 23123211 Portfolio management companies	22211102		20120200	Appraioui companico
22311101 Local governments: general government 23123205 Currency exchange establishments 22311102 Local governments: regional autonomous institutions and similar 22311103 Local governments: companies 23123207 Insurance brokers and agents 22411101 Social Security institutions 23123209 Market governing bodies 23110101 Electronic money institutions 23123211 Portfolio management companies	00044400		0046000:	Material recognition and an arrange of
22311102 Local governments: regional autonomous institutions and similar 22311103 Local governments: companies 22411101 Social Security institutions 23123206 Securities firms 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123211 Portfolio management companies		Regional governments: companies		
22311102 Local governments: regional autonomous institutions and similar 22311103 Local governments: companies 22411101 Social Security institutions 23123206 Securities firms 23123207 Insurance brokers and agents 23123209 Market governing bodies 23123211 Portfolio management companies	22311101			
and similar 22311103 Local governments: companies 23123207 Insurance brokers and agents 22411101 Social Security institutions 23123209 Market governing bodies 23110101 Electronic money institutions 23123211 Portfolio management companies	22311102		23123206	Securities firms
22311103 Local governments: companies 23123207 Insurance brokers and agents 22411101 Social Security institutions 23123209 Market governing bodies 23110101 Electronic money institutions 23123211 Portfolio management companies		· · · · · · · · · · · · · · · · · · ·		
22411101 Social Security institutions 23123209 Market governing bodies 23110101 Electronic money institutions 23123211 Portfolio management companies	22311103		23123207	Insurance brokers and agents
23110101 Electronic money institutions 23123211 Portfolio management companies				
23111101 Electronic friories institutions 23123211 Portion management companies 23111101 Money market funds 23123212 Management companies of other financial brokers				
23111101 winney market runds 23123212 Management companies of other financial brokers			23123211	Management companies
	23111101	woney market runds	23123212	ivianagement companies of other financial brokers

Pág. 14 KYC (june-2020)



LEGAL ENTITY

	VIIII		
23112101	Other monetary financial institutions	23123215	Securities clearing and settlement services
23121101	Insurance companies		Payment institutions
23121201	Pension funds		Other financial auxiliaries
23122001	Securitisation companies and funds		Non-financial companies
23122100	Hedge funds		Non-profit institutions serving households
23122101	Mutual funds, except for MMFs		Households: sole traders
23122102	Mutual fund companies	23411101	
23122103	Hedge fund companies		
23122201	Real estate investment funds and companies		
23122202	Other non-financial collective investment schemes		
Sectors for re	sidents in other countries:		
31111101	Central banks and national monetary authorities	34122201	Real estate investment funds and companies
31211101	Foreign credit institutions: deposit-taking institutions	34122202	Other non-financial collective investment schemes
31212101	Foreign credit institutions: other credit institutions		Securities firms
31221101	Spanish deposit-taking institutions (overseas	34122302	Venture capital companies and funds
	branches)		
31222101	Other Spanish credit institutions (overseas branches)	34122303	Securitisation companies and funds
32111101	Multilateral development banks which cannot be	34122304	Companies issuing preferred shares
	classified as monetary financial institutions		
32211101	Multilateral development banks which could be	34122305	Holding companies managing subsidiaries which are
	classified as monetary financial institutions		primarily financial
33111101	Central governments: states		Listed public limited real estate investment companies
33111102	Central governments: regional autonomous institutions and similar	34122307	Other specialised financial institutions
33111103	Central governments: companies	34122308	Holding companies which do not manage subsidiaries
33121101	Regional governments: general government	34122310	· ·
			multilateral development banks) which could be classified as
			other financial brokers
33121102	Regional governments: regional autonomous	34122399	Other financial brokers
	institutions and similar		
33121103	Regional governments: companies	34123101	Central counterparties
33122101	Local governments: general government		Appraisal companies
33122102	Local governments: regional autonomous institutions	34123204	Mutual guarantee companies
	and similar		
33122103	Local governments: companies		Currency exchange establishments
33123101	Social Security institutions		Securities firms
33211101	European institutions		Insurance brokers and agents
33221101	Other international institutions		Market governing bodies
34110101	Electronic money institutions		Portfolio management companies
34112101	Money market funds		Management companies of other financial brokers
34111101	Other monetary financial institutions		Securities clearing and settlement services
34121101	Insurance companies		Payment institutions
34121201	Pension funds		Other financial auxiliaries
34122100	Hedge funds		Non-financial companies
34122101	Mutual funds, except for MMFs		Non-profit institutions serving households
34122102	Mutual fund companies		Households: sole traders
34122103	Hedge fund companies	34411101	Households: other

Pág. 15 KYC (june-2020)