

INDIVIDUAL

Please follow the instructions for completion in section H on page 4.

A. PERSONAL INFORMATION									
Name	1st surname			2nd surname					
Sex	Male	Female		Marital status (1)					
Date of birth	YEAR-MONTH-DAY			Country of birth					
Place of birth									
Nationality	Other nationalities						1.		
							2.		
							3.		
B. IDENTIFICATION									
Are you resident in Spain?	Yes	(complete B.1)			No	(complete B.2)			
B.1. If the answer is yes, Type of document (check one of the two boxes)	DNI (Spanish ID document)			NIE (Foreigner Identification Number)			Document no.		
Document expiry date	YEAR-MONTH-DAY			Document country of issue			ESPAÑA		
B.2. If the answer is no, Type of document (check one of the two boxes)	Passport			ID document in country of residence			Document no.		
Document expiry date	YEAR-MONTH-DAY			Document country of issue					
B.3. Indicate below any countries other than Spain in which the individual has <u>tax liabilities</u> in accordance with the laws of the jurisdictions in question: (2)									
Country							Document no.		
Country							Document no.		
Country							Document no.		
C. METHOD OF CONTACT									
C.1. Usual residential address:									
Country	Municipal area			Town					
	Province			Postcode					
Address	Number			Entrance hall		Flat	Door		
C.2. Contact address in Spain: (do not complete if the same as above)									
Country	ESPAÑA			Municipal area			Town		
	Province			Postcode					
Address	Number			Entrance hall		Flat	Door		
C.3. Other contact details:									
Telephone no.	Mobile no.			Email					
D. WORK ACTIVITY									
Connection with Cecabank (3)				Economic activity (4)					
Occupation (5)									
If you are an employee, state the name of the company						Income level (6)			

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Other sources of income (subsidies, rent, etc.)	Origin of the income in question
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D.1. Other information:

Are you or have you ever been entrusted with prominent public functions in Spain or any other country? (7) Yes No
 If the answer is yes, please state the post and period in office:

Do you have any relatives or associates who are or have been entrusted with prominent public functions? (8) Yes No
 If the answer is yes, please state the degree of kinship, post, period in office, type of business relationship and the name of the legal entity controlled by the public person in question:

D.2. Purpose of your business relationship with Cecabank: (9)

Are you planning to receive investment services from Cecabank? No

D.3. Products:

Please select type of products you already maintain (or you are going to subscribe) with Cecabank:

Current / saving or deposit accounts	Securities accounts	Foreign currency exchange
Loans or credits	Credit cards	Tri-Party Financial Services
		Training

D.4. ¿Are you considered professional for tax issues? Yes No

In case you have answered yes, please, provide:

- Tax ID code
- CNAE

E. OTHER INDIVIDUALS AUTHORISED TO MANAGE THE ACCOUNT

If you are going to authorize any other individual (10) to manage the account or securities account, please complete the following data:

E.1. 1st authorised:			
Name	1st surname	2nd surname	
Type of document	Document number	Expedition country	
Expiry date	YEAR-MONTH-DAY		Country of birth
Date of birth	Country of residence	Nationality	

E.2. 2nd authorised:			
Name	1st surname	2nd surname	
Type of document	Document number	Expedition country	
Expiry date	YEAR-MONTH-DAY		Country of birth
Date of birth	Country of residence	Nationality	

E.3. 3rd authorised:			
Name	1st surname	2nd surname	
Type of document	Document number	Expedition country	
Expiry date	YEAR-MONTH-DAY		Country of birth
Date of birth	Country of residence	Nationality	

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F. DOCUMENTATION TO BE PROVIDED

You must attach the following documentation to this form:

- Photocopy or scanned copy of the document indicated in section **B.1** (Spanish ID document/Foreigner identification number) or **B.2** (Passport/ID document in country of residence).
- If you have answered yes to any of the questions in section **D.1** or are not a Cecabank employee, you must provide the following:
 - Photocopy of scanned copy of your latest personal income tax return and,
 - If you are working, a photocopy or scanned copy of your last two payslips.
- If you have authorised any other individual, please also enclose:
 - Photocopy or scanned copy of the identification document of each individual.

G. DISCLAIMER AND SIGNATURE

I declare that I have examined the information contained in this form and that, to the best of my knowledge, this information is true, complete and current. In particular I hereby certify that:

1º All the information included in this form corresponds to the person identified in paragraph A of this form.

2º I have no tax obligations in other countries not listed on this form.

Finally, I undertake to inform the company as soon as possible if there is any change in the information contained in this form.

Signature:		Date:	
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In accordance with the provisions of the Personal Data Protection Act 3/2018, of December 5, any data which, if applicable, may be obtained during the relationship that you maintain with Cecabank, S.A. will be included in the Entity's internal records. You may exercise the rights foreseen in the Laws in force over the data in question by writing to the data controller, which is Cecabank, S.A., by sending a request for the attention of the Legal Division, at Calle Alcalá 27, 28014, Madrid, or by sending an email to arco@cecabank.es. The processing of the data, which you hereby authorise, will be carried out in accordance with the legal requirements and the appropriate security measures will be adopted in order to prevent their unauthorised alteration, loss, processing or access.

Furthermore, you are hereby informed that the data and documents referred to in this form are compiled in order that Cecabank may comply with the applicable legal obligations established by current regulations. Failure to provide the aforementioned information could disrupt the normal development of the relationship. We therefore ask that you complete this form, print it and send a signed copy to your contact person at Cecabank.

In particular, you are informed that in accordance with the provisions of the Prevention of Money Laundering and Terrorist Financing Act 10/2010, of 28 April, as well as its enabling legislation, Cecabank must monthly notify and update, in the Financial Ownership Register, the following information on the individuals and legal entities with which it maintains business relations:

- The opening or cancellation date of any current accounts, savings accounts, securities accounts or term deposits, irrespective of their commercial name.
- The identifying information of the accountholders, beneficial owners, where applicable, representatives or authorised parties, as well as any other persons with the right to dispose of the accounts and deposits indicated in the previous point.

If applicable, please inform the other interested parties of this regulatory provision.

Cecabank, S.A.

C\ Caballero de Gracia 28-30
28013 Madrid

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H. INSTRUCTIONS FOR COMPLETION

Please complete the form in capital letters, save, print and sign it and then send it to the address indicated in section F.

(1) Codes to be included in the "marital status" field:

- | | |
|-------------|------------------|
| 01 Married | 04 Separated |
| 02 Single | 05 Widower/widow |
| 03 Divorced | 06 Other |

(2) A country in which the customer has tax liabilities is one in which the individual is tax resident (according to the provisions of the laws of the country in question) and, in the case of the USA, when the customer is also a US citizen. The tax ID number is the code provided by the tax authorities of each country in which the customer has tax liabilities (e.g. TIN, VAT number, etc.).

(3) Codes to be included in the "Connection with Cecabank" field:

- | | |
|---|--|
| 01 Cecabank director | 13 Relative (other) of Cecabank director/executive |
| 02 Cecabank senior executive | 14 Director or executive of group and family companies |
| 03 Employee other than a senior executive | 92 Persons not connected with Cecabank |
| 12 Relative (1st degree) of Cecabank director/executive | |

(4) Codes to be included in the "Economic activity" field:

- | | |
|---|-------------------------|
| 00 Civil servant or similar (soldier, policeman, notary, registrar, etc.) | 06 Unemployed |
| 01 Pensioner | 07 Student |
| 02 Investor | 08 Housewife or similar |
| 03 Company employee | 09 Other |
| 04 Employee of another group company | 10 Self-employed worker |
| 05 Other employed workers | |

(5) Codes to be included in the "Occupation" field:

You must indicate the numerical code of 4 figures that applies to your employment from those established by the National Institute of Statistics (INE), which can be found at the following website: http://www.ine.es/daco/daco42/clasificaciones/cno11_estructura.xls

(6) Codes to be included in the "Income level" field:

- 1 - from 0 to 50.000 €
- 2 - from 50.000 € to 300.000 €
- 3 - from 300.000 € to 600.000 €
- 4 - > 600.000 €

(7) Prominent public functions in the Spanish state shall be understood to mean those covered by the regulations governing conflicts of interest, such as senior positions in the central state government; national and European members of parliament; High Court and Constitutional Court judges, including equivalent members of the public prosecution service; members of the Court of Auditors and the Bank of Spain; ambassadors and chargés d'affaires; senior military personnel in the armed forces; and directors, deputy directors and members of the board of directors (or equivalent body) of international organisations, including the European Union.

Furthermore, persons with public responsibility shall be deemed to be those who are or have been entrusted with prominent public functions in the Spanish autonomous regions, such as Presidents, Ministers and other members of the Governing Councils, as well as high-ranking officials and regional members of parliament; and, on a local level in Spain, mayors, councillors and other high-ranking officials of local authorities in provincial or regional capitals with more than 50,000 inhabitants, or senior management positions in trade unions or business organisations or Spanish political parties.

(8) Prominent public functions shall be understood to mean those filled by election, appointment or investiture in the Spanish state, other European Union member states or third countries, such as heads of state, heads of government, ministers or other members of government, secretaries of state or sub-secretaries; members of parliament; high court, constitutional court and other high-ranking court judges whose decisions cannot normally be appealed, except in exceptional circumstances, including equivalent members of the public prosecution service; members of courts of auditors or the boards of central banks; ambassadors and chargés d'affaires; senior military personnel in the armed forces; members of the board of directors or the governing or supervisory bodies of publicly-owned companies.

A relative shall be deemed to be the spouse or a person with a similar stable personal relationship, as well as parents and children, and spouses or persons with a similar stable personal relationship with the children. An associate shall be deemed to be any individual who is known to have joint ownership or control of a legal instrument or entity together with a person with public responsibility, has any other type of close business relations with such a person, or has ownership or control of a legal instrument or entity known to have been set up for the benefit of the person in question.

(9) Codes to be included in the "Purpose of your business relationship with Cecabank" field:

- 1- Commercial Banking
- 2- Investment Services
- 3- Personal
- 4- Loans or credits

(10) "Authorised individual" should be understood as any individual, in addition to the account holder and/or joint owner of the account, that has the capacity to act on the account (i.e: authorised individuals, administrator, legal representative or guardian, individuals with legal rights over the interests, etc.